

# JC06 Rec'd PCT/PTO 09 MAY 2005

Practitioner's Docket No. <u>U 015523-7</u>

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application	
of	
Inventor	(s)
for	·
Title of inve	ention
:	
OR	
In re application of: Arkady Veniaminovich DUBI	•
Serial No.: 10/518,203	Group No.: -
Filed: December 16, 2004	Examiner: -
For: REMOTE CONTROLLED DEVICE FOR TOO	JL ROTATING
Commissioner for Patents	
P. O. Box 1450	
Aexandria, VA 22313-1450	
· ·	
TRANSMITTAL OF INFORMATION	· <del></del>
WITHIN THREE MONT	
BEFORE MAILING OF FIRST OFFI	CE ACTION (37 C.F.R. 1.97(b))
CERTIFICATION UNDER 37	C.F.R. 1.8(a) and 1.10*
(When using Express Mail, the Express l	Mail label number is mandatory;
Express Mail certificati	ion is optional.)
I hereby certify that, on the date shown below, this correspondence	e is being:
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37 C.F.R. 1.8(a)	37 C.F.R. 1.10*
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transmitted by facsimile to the Patent and Trademark Office.	10
D . 16 . C 2005	Signature
Date: May 6, 2005	William R. Evans (type or print name of person certifying)
	(type or print name of person certifying)

NOTE: 37 C.F.R. 1.98(b): (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date. Each U.S. patent application published listed in an information disclosure statement (2) shall be identified by applicant, patent application publication number, and publication (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date. Each foreign patent or published foreign patent application listed in an information (4) disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application. (5) Each publication listed in an information disclosure statement must be identified by publisher, author (fi any), title, relevant pages of the publication, date, and place of publication. **WARNING:** No extension of time can be had under 37 C.F.R. § 1.36 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

NOTE: The "filing date of a national application" under 37 C.F.R. 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark office, the filing is defined in 37 C.F.R. 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. 37 C.F.R. 1.97(b)(2).

# IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."
- NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuationsin-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

If a fee is required, please charge deposit account 12-0425.

SIGNATURE OF PRACTITIONER

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(type or print name of practitioner)

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PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Arkady Veniaminovich DUBROSKY, et al.

Serial No.:

10/518,203

Group No.:

Filed: December 16, 2004

Examiner:

For: REMOTE CONTROLLED DEVICE FOR TOOL ROTATING

Attorney Docket No.: U 015523-7

**Commissioner for Patents** P.O. BOX 1450 Alexandria, Va. 22313

Sir:

#### INFORMATION DISCLOSURE STATEMENT

We draw the attention of the Examiner to the attached English-language version of an International-type Search Report from a foreign office in respect of counterpart International Application No. PCT/RU03/00147 that indicates the degree of relevance found by the foreign office. The Search Report makes consideration of any non-English art required. MPEP 609.

We also draw the attention of the Examiner to cited reference RU 2144791 and RU 2181566 which are considered in the specification.

Form PTO-1449 is also attached with reference copies.

Respectfully submitted,

William R. Evans LADAS & PARRY LLP 26 WEST 61<sup>ST</sup> STREET NEW YORK, NY 10023

REG. NO.: 25858 (212) 708-1930

### **CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. BOX 1450, Alexandria, Va. 22313

Date: May 6, 2005

William R. Evans (Type or print dame of person mailing paper)

(Signature of person mailing paper)

FORM PTO-1449

**EXAMINER:** 

#### U. S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
U 015523-7	10/518,203
APPLICANT	
Arkady Veniaminovich DUBROVSKY	
FILING DATE	GROUP
DECEMBER 16 2004	

**DECEMBER 16, 2004** U.S. PATENT DOCUMENTS EXAMBADE REFERENCE DOCUMENT FILING DATE IF **INITIALS** DESIGNATION **NUMBER** DATE NAME **APPROPRIATE** 02/1987 **ELLIS** AA 4,641,657 ABAC AD ΑE ΑF AG AΗ ΑI ΑJ FOREIGN PATENT DOCUMENTS **TRANSLATION DOCUMENT NUMBER** DATE **COUNTRY** YES NO 2098025 12/1997 RŲ Х AK 1489731 06/1989 SU Х AL 2144791 01/2000 RU AM 04/2002 RU Х AN 2181566 ΑO (Including Author, Title, Date, Pertinent Dates, Etc.) OTHER ART Partial English Translation of Claims of RU 2098025 dated December 10, 1997 ΑP Partial English Translation of Claims of SU 1489731 dated June 30, 1989 AQ Partial English Translation of Claims of RU 2144791 dated January 27, 2000 AR Partial English Translation of Claims of RU 2181566 dated April 27, 2002 AS DATE CONSIDERED **EXAMINER** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if

not in conformance and not considered. Include copy of this form with next communication to applicant.